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S/N 09/506,347

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#13(Supp)

Applicant:	Nakata et al.	Examiner:	Kimlien T. Le	IDS
Serial No.:	09/506,347	Group Art Unit:	2653	MAF
Filed:	February 17, 2000	Docket No.:	10873.498US01	11/18/02
Title:	Optical Head And Method Of Manufacturing The Same			

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)(1)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication from a the USPTO in a co-pending application within three months of filing this statement.

Certification Under 37 C.F.R. § 1.704(d)

In accordance with 37 C.F.R. § 1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from a U.S. patent office in a co-

pending application, and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement. Accordingly, no patent term adjustment is due for the filing of this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in co-pending application, U.S. Serial No. 09/491,100 filed on January 25, 2000.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

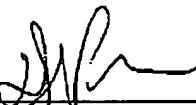
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: November 14, 2002

By   
Douglas P. Mueller  
Reg. No. 30,300

DPM:hb

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**Fax Transmission** | November 13, 2002

TO: Commissioner for Patents  
Attn: Examiner Kimlien T. Le  
Patent Examining Corps  
Facsimile Center  
Washington, D.C. 20231

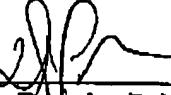
FROM: Douglas P. Mueller  
OUR REF: 10873.498US01  
TELEPHONE: 612.371.5237

Total pages, including cover letter: 4**PTO FAX NUMBER 1-703-872-9314**

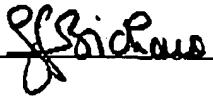
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Title of Document Transmitted: Supplemental IDS  
Applicant: Nakata et al.  
Serial No.: 09/506,347  
Filed: February 17, 2000  
Group Art Unit: 2653  
Our Ref. No.: 10873.498US01

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By:   
Name: Douglas P. Mueller  
Reg. No.: 30,300

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Hicham BicharaSignature November 14, 2002  
Date